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PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/825,155
Filing Date	April 3, 2001
First Named Inventor	Ariel Ruiz i Altaba
Group Art Unit	unknown
Examiner Name	unknown
Attorney Docket Number	1049-1-008 N CON

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
copy of Declaration and Power of Attorney, copy of Notice to File Missing Parts; return postcard

Remarks

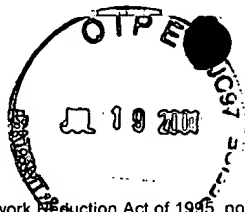
## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Michael A. Yamin, Reg. No. 44,414
Signature	<i>Michael A. Yamin</i>
Date	July 16, 2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>July 16, 2001</u>			
Typed or printed name	Sandy Santiago		
Signature	<i>Sandy Santiago</i>	Date	July 16, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$580.00)

**Complete if Known**

Application Number	09/825,155
Filing Date	April 3, 2001
First Named Inventor	Ariel Ruiz i Altaba
Examiner Name	unknown
Group Art Unit	unknown
Attorney Docket No.	1049-1-008 N CON

**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 11-1153  
Deposit Account Name \_\_\_\_\_

☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

	Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355				Utility filing fee	355.00
106	320	206	160				Design filing fee	
107	490	207	245				Plant filing fee	
108	710	208	355				Reissue filing fee	
114	150	214	75				Provisional filing fee	

SUBTOTAL (1) (\$355.00)

**2. EXTRA CLAIM FEES**

Total Claims 9 - 20\*\* = 0 x 40 = 0  
Independent Claims 7 - 3\*\* = 4 x 40 = 160  
Multiple Dependent \_\_\_\_\_ = \_\_\_\_\_

	Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9				Claims in excess of 20
102	80	202	40				Independent claims in excess of 3
104	270	204	135				Multiple dependent claim, if not paid
109	80	209	40				** Reissue independent claims over original patent
110	18	210	9				** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$160.00)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

	Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65				Surcharge - late filing fee or oath	65.00
127	50	227	25				Surcharge - late provisional filing fee or cover sheet	
139	130	139	130				Non-English specification	
147	2,520	147	2,520				For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*				Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*				Requesting publication of SIR after Examiner action	
115	110	215	55				Extension for reply within first month	
116	390	216	195				Extension for reply within second month	
117	890	217	445				Extension for reply within third month	
118	1,390	218	695				Extension for reply within fourth month	
128	1,890	228	945				Extension for reply within fifth month	
119	310	219	155				Notice of Appeal	
120	310	220	155				Filing a brief in support of an appeal	
121	270	221	135				Request for oral hearing	
138	1,510	138	1,510				Petition to institute a public use proceeding	
140	110	240	55				Petition to revive - unavoidable	
141	1,240	241	620				Petition to revive - unintentional	
142	1,240	242	620				Utility issue fee (or reissue)	
143	440	243	220				Design issue fee	
144	600	244	300				Plant issue fee	
122	130	122	130				Petitions to the Commissioner	
123	50	123	50				Processing fee under 37 CFR 1.17(q)	
126	180	126	180				Submission of Information Disclosure Stmt	
581	40	581	40				Recording each patent assignment per property (times number of properties)	
146	710	246	355				Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355				For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355				Request for Continued Examination (RCE)	
169	900	169	900				Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$65.00)

**SUBMITTED BY**

Name (Print/Type) Michael A. Yamin

Registration No. 44,414  
(Attorney/Agent)**Complete (if applicable)**

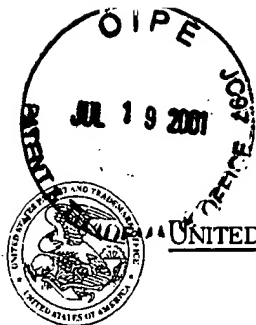
Telephone 201-487-5800

Signature

Date July 16, 2001

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/825,155	04/03/2001	Ariel Ruiz i Altaba	1049-1-008N CON

CONFIRMATION NO. 2618

23565  
KLAUBER & JACKSON  
411 HACKENSACK AVENUE  
HACKENSACK, NJ 07601

## FORMALITIES LETTER



\*OC00000006082839\*

Date Mailed: 05/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/23/2001 BNGUYEN1 00000043 09825155

FILED UNDER 37 CFR 1.53(b)

01 FC:201	355.00 OP
02 FC:202	160.00 OP
03 FC:205	65.00 OP

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$320.
  - \$320 for 4 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1160.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

*A copy of this notice MUST be returned with the reply.*

A handwritten signature in black ink, appearing to be 'H. J.', is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE